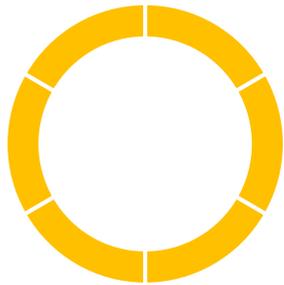


Baseline Assessment of Six Themed Areas



- Resource and Communication
- Fraud Risk Management
- Policy Related
- Committee Related
- Culture and Awareness
- Reporting, Investigating and Monitoring

Scope and Ambition

This baseline assessment is a corporate view of fraud maturity within the organisation. We recommend a revisit of the assessment in twelve months' time, with a view to an expected increase in the level of maturity. It is vital that management ensure the key findings from this work are considered and that they work with SWAP to reduce risk of fraud and to protect the public purse.

SWAP intends to share the results of this assessment across its wider partnership, to give opportunity for Councils to compare outcomes and where possible, share good practice. This exercise will anonymise the information from each Council.

Key Findings

	There is no agreed Anti-Fraud Strategy or Fraud Response Plan. The programme of counter-fraud work was not provided and there is no evidence that this is agreed and progress is monitored by Audit Committee.
	There has been no recent training delivered in relation to anti-fraud and corruption. Fraud training is not mandatory and there is little evidence that anti-fraud awareness is promoted across the Council.
	The Council has not yet completed a formal assessment in relation to fraud and corruption risks. Fraud risk is not specifically reviewed by Senior Management and Members.
	The Whistleblowing Policy contact details are out of date. The Officer and Member Code of Conduct documents do not specifically include reference to fraud.
	The Council has a dedicated and qualified Counter Fraud Team, which works jointly other bodies and provides support in relation to prevention and detection, including reviewing of relevant service specific policies.
	We are informed that allegations and fraud incidents are promptly investigated by the Counter Fraud Team, who have access to relevant information.

Assessments per Area Reviewed

<p>Resource and Communication</p>	<p>Fraud Risk Management</p>
<p>Policy Related</p>	<p>Committee Related</p>
<p>Culture and Awareness</p>	<p>Reporting, Investigating and Monitoring</p>

- Assessed not to be in place/ operating ineffectively – requires significant improvement.
- Assessed to be partially in place – requires improvement.
- Assessed as in place/ operating effectively.